

TOTAL ETHICAL AUDITING

How to Assess Ethics and Fraud Red Flags

A CPE Program Designed Specifically for Internal Auditors



To read more about the presenting company, visit:

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PRESENTED BY AMANDA "JO" ERVEN, CPA, CIA, CFE, CTQA

- **PRESIDENT AND FOUNDER, AUDIT. CONSULTING. EDUCATION. LLC**
- **INTERNAL AUDIT STRATEGIST, ETHICS & CULTURE CONSULTANT, CPE TRAINER**
- **AUTHOR OF TOTAL QUALITY AUDITING, YOUR ROAD. YOUR CHOICES., AND BECOMING THE EVERYDAY ETHICIST**

NASBA Program Summary:

Total Ethical Auditing™ (or TEA) as published in Jo's book, *Becoming The Everyday Ethicist*, is "a mission, mindset, and strategy for Internal Auditors to audit organization leadership and culture." It includes three parts:

- 1) How to **"Think & Plan Like a Fraud Examiner"** by utilizing 3 innovative ethics/fraud assessment techniques.
- 2) How to **"Execute Like an Investigative Journalist"** by deeply reviewing those areas of high risk.
- 3) How to **"Mitigate Like a Coach"** by consulting, counseling, and training to improve ethical conduct.

In this program, we will discuss the main types of ethics/fraud "red flags" that exist within organizations today, such as: Disingenuous Leaders, Suspect Accounting Processes, Aggressive Incentives & Compensation, and Unchecked Technology Ethics - just to name a few. You will then use new assessment techniques learned to prioritize WHERE your focus needs to be when auditing culture and HOW to start!

Program Level: Basic | **Prerequisites:** None | **Program For:** Auditors and Other Professionals

Instructional Method: Group-Live or Group Internet Based | **Advance Preparation:** None

Recommended CPE Credit: 1-4 Hours | **Field of Study:** Behavioral Ethics/Auditing



Learning Objective 1: "ETHICAL RISKS"

Learn the eight general categories of "Ethical Risks" Jo identifies in her book, *Becoming The Everyday Ethicist*. Begin to determine which risks are applicable to your organization.

Learning Objective 2: "ETHICS IN REAL-LIFE"

Review real-life cases for each of the risk categories and dissect the "why" behind each case to learn the lessons.

Learning Objective 3: "ETHICS RISK ASSESSMENT"

Understand why performing an ethics risk assessment is of utmost importance. Learn how to "Think and Plan Like a Fraud Examiner" utilizing Jo's three assessments "The Fraud Triangle Assessment," "The Risk Impact Assessment," and "The Red Flag Assessment."

Learning Objective 4: "WHERE TO START"

Lastly, you will learn how to prioritize those ethical risks to "Execute Like an Investigative Journalist" and "Mitigate Like a Coach" - digging into what matters at your organizations and preventing future ethical failures.

**To learn pricing or to book this program, just email
Jo@AuditConsultingEducation.com**

Conference registration and policies (refunds/cancellations/complaints) are dependent on the event sponsor. NASBA CPE certificates can be provided subsequent to your event by the speaker, for an additional fee.. See NASBA Sponsor info on next page.



Speaker Bio

AMANDA "JO" ERVEN, CPA, CIA, CFE, CTQA

**HIGHER EDUCATION PROFESSOR
INTERNAL AUDIT STRATEGIST
ETHICS & CULTURE CONSULTANT
CPE TRAINER/KEYNOTE SPEAKER/AUTHOR**



Jo is the President and Founder of Audit. Consulting. Education. LLC., a firm specializing in progressive internal audit, risk, and ethics consulting along with providing impactful CPE courses to organizations. Jo is also a full-time, accounting faculty member at Metropolitan State University of Denver as well as the Director of Internal Audit Education for the University. As Director, Jo is actively developing and marketing an undergraduate and graduate Risk Assurance and Advisory certificate for students.

Jo pushes the envelope of traditional assurance and advising practices. She believes internal auditors should no longer be reactive and should focus on proactive, real value-add activities. Her trademarked approach to internal audit, *Total Quality Auditing*® (TQA) was published in both book and workbook format. She provides in-person and virtual TQA trainings and developed a Certified Total Quality Auditor (CTQA) designation that has been earned by internal auditors across the globe.

Personally, Jo is known for her proactive nature as well. After finding out she was positive for the breast and ovarian cancer gene mutation (BRCA1), Jo underwent multiple preventative surgeries. She believes knowledge is power and encourages others to take action in their personal lives. Jo's second book, *Your Road. Your Choices.*, begins with a look at her story and exploring how we can each choose to embrace adversity. She delivers keynote presentations on our life choices, which have been called an "epic experience."

Jo's third book, *Becoming The Everyday Ethicist: Doing Things the Right Way the First Time*, is based on her personal and professional life experiences as well as her ethics research and studies. Jo is passionate about ethics and all her presentations provide individuals with the keys to living an ethical life, show leaders how to display integrity and earn trust, and demonstrate the importance of ethics (and ethics monitoring by Internal Auditors) in all organizations.

Jo's motto says the most about her personal and professional outlook: **"Good things come to those who wait... but don't. You deserve better than good."** Every one of her books and presentations focuses on that proactive stance, and how we can immediately connect our actions to our values. She can't wait to share her motto and MORE with you and your group!



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